



Adaptive Mutation Rate for the Artificial Bee Colony Algorithm: A Case Study on Benchmark Continuous Optimization Problems

Syeda Shabnam Hasan

Department of Computer Science and Engineering
Ahsanullah University of Science and Technology
Dhaka-1208, Bangladesh

ABSTRACT

A major problem with the Artificial Bee Colony (ABC) algorithm is its premature convergence to the locally optimal points of the search space, which often originates from the lack of explorative search capability of its mutation operator. This paper introduces ABC with Adaptive Mutation Rate (ABC-AMR), a novel algorithm that modifies the basic mutation operation of the original ABC algorithm in an explorative way. The novelty of the proposed algorithm lies in an adaptive mutation strategy that enables ABC-AMR to automatically adjust the mutation rate, separately for each candidate solution of the bee population, in order to customize the degree of explorations and exploitations around each candidate solution, while the original ABC algorithm employs a naïve fixed mutation rate. Besides, a few more explorative schemes and parameter values are employed by ABC-AMR to assist the adaptive mutation procedure. ABC-AMR is evaluated on several benchmark numerical optimization problems and results are compared with the basic ABC algorithm. Results show that ABC-AMR can perform better optimization than the original ABC algorithm on some of the benchmark problems.

Keywords

Artificial bee colony algorithm; Mutation; Exploration and exploitation; Continuous optimization.

1. INTRODUCTION

The Artificial Bee Colony (ABC) algorithm [1] is a recently introduced swarm intelligence based algorithm inspired by the intelligent food foraging behavior of the honey bees found in nature. Since its advent [2], ABC and its variants have often successfully employed to wide and diverse range of problems, such as numeric optimization [3], discrete optimization [4], multi-objective optimization [5], industrial process control [6], structural design [7], design of digital IIR filters [8], PID controller [9], machine learning [10] and so on [11].

In comparison to other greedy and local search based algorithms, ABC is more resilient against premature convergence and local optima, because the population of candidate solutions can maintain some amount of diversity that is necessary to continue search space explorations avoiding the locally optimal points. However, it is still possible (e.g., Refs. [12] – [14]) that the evolving population of candidate solutions loses its diversity and explorative search capability too soon. This leads the candidate solutions to prematurely get trapped around the local optima. The risk of premature convergence usually rises with reduced

explorations and increased exploitations. But, increasing the explorations may lead to unacceptably slow convergence speed. So an adaptive and balanced mix of explorations and exploitations is often necessary for good results and sufficient convergence speed of the algorithm.

There exist a number of research works (e.g., Refs. [15] – [34]) that attempt to alter the explorative and/or exploitative properties of the basic ABC algorithm. However, most of them focus on altering the selection operation only. In the literature, not much has been reported to improve the basic, non-adaptive and fixed mutation operator of ABC. The proposed algorithm — ABC with Adaptive Mutation Rate (ABC-AMR) alters the mutation operation of ABC, as well as incorporates few more basic schemes to increase the degree of explorations of the basic ABC algorithm. Unlike ABC, the number of parameters that are mutated by ABC-AMR is gradually self-adapted, cycle (i.e., generation) by cycle, separately for each candidate solution of the bee population. The objective is to customize the degree of explorations and exploitations at the individual candidate solution level, separately for each candidate solution during its mutation operation by adapting and adjusting its mutation rate separately.

The rest of this paper is organized as follows. Section 2 describes the ABC algorithm. Section 3 presents a few improved ABC-variants and explains how ABC-AMR is significantly different from them. Section 4 describes ABC-AMR in details. Section 5 provides details of the benchmark problems and compares the results of different algorithms. Finally, section 6 leaves a few suggestions for further research with ABC-AMR.

2. THE ARTIFICIAL BEE COLONY (ABC) ALGORITHM

Honey bees in a colony show remarkable self-organization and co-ordination skills in their food foraging behavior. Bees have to forage over a vast area in search of good sources of food. After an initial exploration stage, more bees are employed to collect honey from the more profitable food sources whereas fewer bees are assigned to the less worthy food sources. Some scout bees are also assigned for exploration to find newer food sources. If the quality of a food source declines after some exploitation, this information is also shared with other bees so that fewer bees are now attracted to this source. After the quality of a food source falls below some threshold, the bees assigned to it abandon it. The foraging process is initiated by scout bees that start searching for flower patches suitable as food sources. Quality is usually



measured as a combination of some values, such as quantity and density of sugar, ease of access, distance from the colony etc. After they return to the hive, those scout bees that found a patch with quality above some threshold, deposit their nectar and then go to the ‘dance floor’ to perform a dance known as the ‘waggle dance’. This dance plays the key role to communicate information among the bees about the food sources. The waggle dance contains three pieces of information: i) the quality of the flower patch of this dancing bee, ii) the distance of the flower patch from the hive, iii) the direction from the hive that you have to travel in order to reach the flower. The ‘onlooker’ bees, waiting around the dance floor, observe the waggle dances of these ‘employed’ bees that have found good food sources and pick any one of them to become its ‘follower’ and collect nectar from its flower patch. The better a flower patch as a food source, the bigger is the number of follower bees along with its employed bee. However, if the patch is no longer good enough, it will not be advertised in the next waggle dance and the bees recruited for it as employed or follower bees will choose either to follow some other employed bee or start working as a scout bee to randomly explore the search space for finding new food source.

The ABC algorithm mimics the food foraging behavior of the honey bees with these three groups of bees: employed bees, onlookers and scouts. A bee working to forage a food source (i.e. solution) previously visited by itself and searching only around its vicinity is called an employed bee. Employed bees perform waggle dance to propagate information of its food source to other bees. A bee waiting around the dance floor to choose any of the employed bees to follow is called an onlooker. A bee randomly searching a search space for finding a food source is called a scout. For every food source, there is only one employed bee and a number of follower bees. The scout bee, after finding a good food source also becomes an employed bee. In ABC algorithm implementation, half of the colony is employed bees and the other half is the onlookers. Number of food sources (i.e., solutions) is equal to the number of employed bees. An employed bee whose food source is exhausted (i.e. solution has not improved after several attempts) becomes a scout. The detailed pseudocode is given below.

Step 1) Generate an initial population of N individuals. Each individual is a food source (i.e. solution) and has D attributes, where D is the dimensionality of the problem.

Step 2) Evaluate the fitness of each individual.

Step 3) Each employed bee searches in the neighborhood of its current position to find a better food source. For each employed bee, generate a new solution, v_i around its current position, x_i using (1).

$$v_{ij} = x_{ij} + \phi_{ij}(x_{ij} - x_{kj}) \quad (1)$$

Here, $k \in \{1, 2, \dots, N_{emp}\}$ and $j \in \{1, 2, \dots, D\}$ are randomly chosen indices. N_{emp} is the number of employed bees. ϕ_{ij} is a uniform random number generated from the range $[-1, 1]$.

Step 4) Compute the fitness of both x_i and v_i . Apply greedy selection scheme to choose the better one.

Step 5) Calculate the selection probability, P_i for each solution, x_i and normalize the probability value by (2).

$$P_i = \frac{fit_i}{\sum_{k=1}^N fit_k} \quad (2)$$

Step 6) Assign each onlooker bee to a solution, x_i at random with probability proportional to P_i

Step 7) Produce new food positions (i.e. solutions), v_i for each onlooker bee using the corresponding employed bee x_i by using (1).

Step 8) Evaluate the fitness of each employed bee, x_i and its produced onlooker bee, v_i . Apply greedy selection scheme to keep the one with better fitness and discard the other.

Step 9) If a particular solution has not been improved over a number (say, 30) of cycles, then select it for abandonment. Replace it by placing a scout bee at a food source placed uniformly at random over the entire search space using (3), i.e., for $j = 1, 2, \dots, D$

$$x_{ij} = min_j + rand(0,1) * (max_j - min_j) \quad (3)$$

Step 10) Keep track of the best food source position (solution) found so far.

Step 11) Check for termination. If the best solution found is acceptable or maximum number of iterations has elapsed, stop and return the best solution found so far. Otherwise go back to step 2 and repeat.

3. EXISTING VARIANTS OF THE ABC ALGORITHM

There exist several recent works (e.g., Refs. [15] – [34]) that try to tweak the explorative and/or exploitative properties of the basic ABC algorithm. For example, the cooperative ABC (CABC) algorithm [16] decomposes the search space into a number of subspaces and enforces more explorations by employing different bee colonies to explore the different subspaces. Another explorative variant — ABC with diversity strategy (DABC) [17] tries to preserve sufficient amount of diversity among the candidate solutions by switching between two different mutation schemes. Chaotic ABC (CHABC) [18] is another explorative ABC-variant that uses dynamic chaotic sequence generators, instead of random number generators, to improve the explorative characteristics of the basic ABC algorithm. The explorative search capacity of ABC may also be improved by intelligent organization of the locally optimal points [19] and using the information of the global best solution, as in the Gbest-guided ABC (GABC) [20] algorithm. The Hooke Jeeves ABC (HJABC) [21] is another improved ABC-variant that hybridizes the Hooke Jeeves pattern search technique with the basic ABC algorithm. The elitist ABC (EABC) [22] is a hybrid ABC variant which hybridizes ABC with two different local search operators to intensify the exploitations around the best solutions. Quan and Shi [23] reported improvement of the convergence speed by introducing an exploitative search iteration operator based on the fixed point theorem of contractive mapping. Qingxian and Haijun [24] employed the Boltzmann selection scheme and introduced an improved initialization scheme to improve the convergence speed. The hybrid crossover based ABC (CbABC) [25] is an exploitative variant that strengthens the exploitation phase of ABC by using a crossover operation. Another new ABC-variant — NABC [26] alters the search pattern of both employed and onlooker bees by searching around neighborhood of the best solutions. The JA-ABC [27] tries to improve average fitness of bee population by replacing



poor solutions with mutations of the fittest solution, which makes it exploitative. Some other recently introduced ABC variants can be found in the Refs. [28] – [34], but each of them comes with some limitations, such as inadequate degree of explorations [28] – [30], poor exploitations [31], slower rate of convergence of the algorithm [32] and increased computational complexity [33], [34].

A major weakness of most existing ABC-variants (e.g., Refs. [16] – [34]) is that they do not consider the individual explorative/exploitative needs of the candidate solutions; rather they treat all the candidate solutions equally, employing some population-wide uniform strategy, identically on all candidate solutions. Another limitation is that they try to improve either the explorative (e.g., Refs. [16] – [19], [31] – [34]) or the exploitative (e.g., Refs. [20] – [30]) properties of the basic ABC algorithm. The explorative enhancements are usually based on more explorative mutation, selection and/or initialization (e.g., Refs. [18], [19]) or employing some technique to maintain more population diversity (e.g., Refs. [16], [17]), while the exploitative developments are usually based on increasing the local search operations around the best candidate solutions (e.g., Refs. [21] – [22], [26] – [27]). However, only a few (i.e., Refs. [17], [20], [27]) of these algorithms make some efforts, more or less, to balance between the explorative and exploitative improvements. But they often use some fixed, rather than adaptive, strategy to balance the explorations with exploitations. For example, a fixed threshold value d_{low} by DABC [17], fixed control parameter value C by GABC [20] and fixed replacement rate of poor solutions by JA-ABC [27].

ABC-AMR differs from all these algorithms in a number of ways. First, ABC-AMR customizes explorations and exploitations separately for every candidate solution x_i by introducing and separately maintaining a control parameter $r[x_i]$ for each x_i . Secondly, ABC-AMR adopts a self-adaptive (rather than fixed, as in Refs. [16], [17], [20], [27], [30]) technique to adaptively control the mutation rate for each candidate solution. Finally, ABC-AMR also employs a few basic techniques to induce more explorations that assist the adaptive mutation rate strategy for better performance.

4. ABC WITH ADAPTIVE MUTATION RATE (ABC-AMR)

The proposed variant, ABC-AMR is different from the original ABC algorithm in four different aspects. First, the major difference between ABC and ABC-AMR is that ABC-AMR alters the mutation equation (1) which is used by steps 3 and 7 of the original ABC algorithm for producing new candidate solutions from the existing ones. ABC perturbs only a single parameter of an existing candidate solution x_i by using (1), which means ABC has a fixed mutation rate of $1/D$. In contrast, ABC-AMR employs a self-adaptive scheme to automatically adapt the mutation rate at the individual solution level. The procedure is further explained in the paragraph that follows. Second, ABC-AMR employs a larger interval of $[-2, 2]$ to randomly produce the ϕ_{ij} values in (1) instead of the narrower $[-1, 1]$ interval used by the original ABC algorithm. Third, to increase the degree of explorations, ABC-AMR employs three scout bees instead of only one scout used by the original ABC algorithm. Fourth, if a particular bee x_i is not improved over the last 30 cycles, ABC-AMR first tries to improve it by applying crossover operation between x_i and the best employed bee found so far,

before abandoning it by scout bees. The second and third schemes improve the degree of explorations, while the fourth scheme increases degree of exploitations. All these four schemes work together to facilitate more effective mutations to produce better offspring solutions from the existing ones.

ABC-AMR includes a mutation probability $r[x_i]$ within each candidate solution x_i which is gradually self-adapted, cycle by cycle, separately for each x_i . The original ABC algorithm perturbs only a single, random parameter of the existing candidate solutions using (1). This performs search along one dimension at a time, which may be suitable for separable problems, but inappropriate for complex non-separable problems. In contrast, ABC-AMR can perturb any number of parameters allowing search along any possible direction. To accomplish this, ABC-AMR maintains and automatically adapts a control parameter $r[x_i]$, separately for every candidate solution x_i . This parameter controls the mutation rate during producing trial solution v_i from x_i . To perform self-adaptation of the value of $r[x_i]$, ABC-AMR does the following — before perturbing any parameter of x_i during producing v_i , the value of $r[x_i]$ is perturbed first, with probability t , using (4). This (possibly) perturbed value of r is inherited by v_i , which is now referred as $r[v_i]$ and is used as the probability of perturbing the parameters of x_i to produce v_i from x_i . A more effective value of $r[v_i]$ is likely to produce fitter new solutions, which are likely to survive better than x_i and produce better, newer solutions that will propagate the better values of the mutation probability $r[v_i]$ across the population. Thus a gradual self-adaptation towards better, more effective mutation rates will take place across the population.

$$r[v_i] = \begin{cases} r_{min} + \text{rand}(0,1) * (r_{max} - r_{min}); & \text{if } \text{rand}(0,1) < t \\ r[x_i] & \text{otherwise} \end{cases} \quad (4)$$

Here, t is the probability that $r[x_i]$ is perturbed first before perturbing any parameter of x_i during producing v_i from x_i . For all of our experiments, we have set $r_{max} = 1.0$, $r_{min} = 1/D$ and $t = 0.05$.

5. EXPERIMENTAL STUDIES

ABC-AMR is evaluated using a standard benchmark suite on numerical optimization problems consisting of 30 functions [1], [2], [18]. Table 1 presents a brief overview on each of the 30 standard benchmark functions. More details on each benchmark function can be found in [1]. The benchmark suite consists both unimodal (f_1 – f_9) and multimodal (f_{10} – f_{30}), separable (e.g., f_1, f_3, f_{15}, f_{16}) and non-separable (e.g., f_2, f_4, f_{14}, f_{17}), high (f_{11} – f_{18}) and low (f_{19} – f_{30}) dimensional functions. To optimize a multimodal function, the search algorithm must possess both exploitative and explorative characteristics so that it can explore the locally optimal points without being trapped around any of them. Some of the multimodal functions can have hundreds of local minima, even when the dimensionality is just two or three. The number of local optima usually increases exponentially with the number of dimensions, which makes their optimization extremely difficult. For example, the Ackley function f_{13} has one narrow global minimum basin, but with exponentially many minor local minima. The Griewank function f_{14} has a component creating linkage among the variables, which complicates the search by perturbing any subset of the variables. The difficulty for the Schwefel function f_{12} arises from its deep



Table 1: Standard benchmark functions. D : dimensionality, S : search space, f_{min} : function value at global minimum, C : function characteristics — U : Unimodal, M : Multimodal, S : Separable, N : Non-separable.

No	Function	C	D	S	f_{min}
f_1	Sphere	US	30	$[-100, 100]^D$	0
f_2	Schwefel 2.22	UN	30	$[-10, 10]^D$	0
f_3	Schwefel 2.21	US	30	$[-10, 10]^D$	0
f_4	Schwefel 1.2	UN	30	$[-100, 100]^D$	0
f_5	Powell	UN	24	$[-4, 5]^D$	0
f_6	Dixon-Price	UN	30	$[-10, 10]^D$	0
f_7	Rosenbrock	UN	30	$[-30, 30]^D$	0
f_8	Step	US	30	$[-100, 100]^D$	0
f_9	Quartic	US	30	$[-1.28, 1.28]^D$	0
f_{10}	Rastrigin	MS	30	$[-5.12, 5.12]^D$	0
f_{11}	Non-continuous Rastrigin	MS	30	$[-5.12, 5.12]^D$	0
f_{12}	Schwefel 2.26	MS	30	$[-500, 500]^D$	-12569.5
f_{13}	Ackley	MN	30	$[-32, 32]^D$	0
f_{14}	Griewank	MN	30	$[-600, 600]^D$	0
f_{15}	Alpine	MS	30	$[-10, 10]^D$	0
f_{16}	Weierstrass	MS	30	$[-0.5, 0.5]^D$	0
f_{17}	Penalized	MN	30	$[-50, 50]^D$	0
f_{18}	Penalized2	MN	30	$[-50, 50]^D$	0
f_{19}	Foxholes	MS	2	$[-65.53, 65.53]^D$	1
f_{20}	Kowalik	MN	4	$[-5, 5]^D$	3.07e-04
f_{21}	Six Hump Camel Back	MN	2	$[-5, 5]^D$	-1.0316
f_{22}	Branin	MS	2	$[-5, 10] \times [0, 15]$	0.398
f_{23}	Hartman3	MN	3	$[0, 1]^D$	-3.86
f_{24}	Hartman6	MN	6	$[0, 1]^D$	-3.32
f_{25}	Shekel5	MN	4	$[0, 10]^D$	-10.15
f_{26}	Shekel7	MN	4	$[0, 10]^D$	-10.40
f_{27}	Shekel10	MN	4	$[0, 10]^D$	-10.54
f_{28}	Fletcher-Powell	MN	10	$[-\pi, \pi]^D$	0
f_{29}	Michalewicz	MS	10	$[0, \pi]^D$	-9.66
f_{30}	Langerman	MN	10	$[0, 10]^D$	-1.4



Table 2: Performance of the proposed algorithm ABC-AMR, compared to the basic ABC algorithm on the benchmark functions. Results are averaged over 50 independent runs. Better performance by ABC-AMR is marked with boldface font. In case the performance difference is not significant by *t*-Test with at least 95% level of confidence (i.e., $\alpha = 0.95$), it is marked as “Similar”.

No	f_{min}	ABC		ABC-AMR		Better Performance (<i>t</i> -Test with $\alpha = 0.95$)
		Mean Error	Std. Dev.	Mean Error	Std. Dev.	
f_1	0	3.58e-11	8.14e-12	4.15e-11	3.89e-12	Similar
f_2	0	1.04e-14	5.33e-14	1.87e-14	7.80e-15	Similar
f_3	0	9.37e+00	3.22e+00	8.65e+00	1.95e+00	Similar
f_4	0	2.75e-10	2.49e-10	3.09e-10	8.71e-11	Similar
f_5	0	2.50e+00	9.25e-01	8.26e+00	8.33e-01	ABC
f_6	0	6.67e-01	8.74e-02	5.01e-03	1.69e-03	ABC-AMR
f_7	0	2.75e+00	8.08e-01	3.17e+00	6.24e-01	Similar
f_8	0	0	0	0	0	Similar
f_9	0	8.61e-13	7.07e-13	7.23e-13	2.02e-13	Similar
f_{10}	0	5.79e-15	2.48e-15	6.58e-15	2.90e-15	Similar
f_{11}	0	8.82e-09	2.33e-09	7.23e-09	2.51e-09	Similar
f_{12}	-12569.5	3.49e+02	1.18e+02	1.06e+02	3.26e+01	ABC-AMR
f_{13}	0	3.08e-06	3.96e-07	6.03e-08	9.58e-09	ABC-AMR
f_{14}	0	4.35e-08	8.47e-09	3.99e-08	1.78e-08	Similar
f_{15}	0	6.90e-06	2.15e-06	6.82e-06	1.93e-06	Similar
f_{16}	0	3.03e-02	8.69e-03	7.36e-02	8.59e-03	ABC
f_{17}	0	5.82e-08	9.42e-09	7.11e-12	2.43e-12	ABC-AMR
f_{18}	0	2.64e-03	8.53e-04	2.49e-03	7.25e-04	Similar
f_{19}	1	0.03	0.013	0.02	0.045	Similar
f_{20}	3.07e-04	7.60e-05	6.69e-06	6.56e-05	7.80e-06	Similar
f_{21}	-1.0316	0.00	0.00	0.00	0.00	Similar
f_{22}	0.398	0.00	0.00	0.00	0.00	Similar
f_{23}	-3.86	0.00	0.00	0.00	0.00	Similar
f_{24}	-3.32	0.00	0.00	0.00	0.00	Similar
f_{25}	-10.15	0.30	0.11	0.28	0.06	Similar
f_{26}	-10.40	0.02	0.0025	0.03	0.021	Similar
f_{27}	-10.54	0.12	0.045	0.04	0.0051	ABC-AMR
f_{28}	0	8.05	2.89	7.95	1.46	Similar
f_{29}	-9.66	0.00	0.00	0.00	0.00	Similar
f_{30}	-1.4	0.54	0.18	1.07	0.24	ABC



local minima which are far from the single global minimum. The low dimensional functions f_{19} – f_{30} have fewer local minima, but they are well separated and distant, making them difficult to be explored without being trapped around them.

Table 2 presents the results of ABC-AMR with the basic ABC [1] algorithm. For the high dimensional functions f_1 – f_{18} , the common parameters are set as — population size $SN=50$, maximum number of function evaluations $FE=100000$ and $limit=100$. For low dimensional f_{19} – f_{30} , $SN=100$, $FE=10000$ and $limit=10*D$. Each algorithm made 50 independent runs on each function. The mean and standard deviation of the best found solutions from different runs are reported in Table 2. Following points summarize our observations on the results.

- Out of the 30 functions f_1 – f_{30} , ABC-AMR performs better than ABC on five functions, while ABC performs better only on three functions. On the remaining 22 functions, their results are similar (i.e., the performance difference is not statistically significant in t -tests with at least 95% degree of confidence). Thus the overall performance of ABC-AMR is better than ABC.
- The unimodal functions f_1 – f_9 and low dimensional functions f_{19} – f_{30} are relatively easier to optimize. On these functions, the performance of ABC and ABC-AMR are mostly similar.
- On the high dimensional multimodal functions f_{10} – f_{18} , which are the most complex function family for any algorithm, the performance of ABC-AMR is much better than ABC. On almost all these functions, ABC-AMR performs either better or equally well to the basic ABC algorithm. This indicates that more explorations, as performed by ABC-AMR, are necessary for good performance on these multimodal functions with exponentially many locally optimal points.
- In summary, ABC-AMR is better suited than the basic ABC algorithm on more complex multimodal and high dimensional functions.

6. CONCLUSION

This paper introduces ABC-AMR — a novel variant of the basic ABC algorithm and evaluates its performance on several standard benchmark functions. Results indicate that ABC-AMR can perform better than ABC on more complex functions which require more search space explorations. There might be several possible ways to further improve ABC-AMR. Firstly, ABC-AMR uses a simple strategy to control the mutation rate. Some more sophisticated scheme, possibly parameterized by the current maturity of the search process, may improve the algorithm further. Secondly, ABC-AMR tries more to improve the explorations only. Putting some emphasis on exploitations, especially around the best-so-far candidate solutions, may further improve the results. Thirdly, the quality of the final solution might be improved further by using an efficient local searcher after the execution of ABC-AMR is over. Finally, ABC-AMR has been applied only on the benchmark continuous optimization problems. It would be interesting to study how well ABC-AMR performs on many other existing problems, especially the discrete and real world ones. Some interesting examples of discrete and real world problems on which ABC-AMR could be employed are industrial process control [6], machine learning [10], bioinformatics [35], data

mining [36], telecommunications [37], engineering analysis and design [38] and many others [11].

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